

**Alabama State Board of Public Accountancy
Minutes of Board Meeting
September 20, 2019**

1. Date, Place and Attendance of Meeting:

A regular meeting of the Alabama State Board of Public Accountancy was held on Friday, September 20, 2019, at The University of Alabama Birmingham, Collat School of Business, Room LL01, located in Birmingham, Alabama at 10:00 a.m.

Board Members Present: Mr. Steven M. Barranco, CPA
Mr. J. Earl Blackmon, CPA
Mr. Michael Terry Comer, PA
Dr. Steve Grice, CPA
Mr. Delbert Madison
Ms. Connie Sheppard-Harris, CPA

Mr. Wim Schaffers, CPA was absent.

A lawful quorum of the Board was present for the meeting.

Also present were D. Boyd Busby, Executive Director; Billington M. Garrett, Assistant Attorney General; Amy Thompson, Senior Accountant; Nicole Robinson, CPE Administrator; Teresa Taylor, Executive Assistant; Jeannine Birmingham, President and CEO of the Alabama Society of CPAs, and Tammy Velasquez, State and Regional Director for Ernst & Young.

Sufficient prior notice of the meeting had been given as required by the Alabama Open Meetings Act.

2. Call to Order:

The meeting was called to order by J. Earl Blackmon, CPA, Chair.

3. Approval of Agenda:

Mr. Comer made a motion to approve the agenda as presented for the September 20, 2019 meeting. Dr. Grice seconded and the motion carried unanimously.

4. Approval of Minutes:

Mr. Madison made a motion to approve the minutes of the July 18, 2019 meeting. Mr. Comer seconded and the motion carried unanimously.

5. Disciplinary Cases:

a. Disciplinary Case No. 19CPE-1—Gregory Boothe White, CPA, Certificate No. 6645:

Mr. Busby presented a consent agreement for Mr. Gregory Boothe White in which Mr. White waived his right to a formal hearing. Mr. White did not attend the proceedings and the proceedings were conducted in his absence. The consent order included Mr. White's agreement to payment of an administrative fine in the amount of \$2,000, completion of the NASBA Center for the Public Trust Ethical Leadership Course within 45 days of acceptance of the agreement and resolution of all CPE deficiencies for the fiscal year ending September 30, 2018. Dr. Grice made a motion that the Board accept the consent agreement as presented. Mr. Barranco seconded and the motion carried on a roll call vote. Voting in favor of the motion were Messrs. Barranco, Blackmon, Comer, Grice, Madison, and Ms. Sheppard-Harris. The Board's Consent Agreement is attached and becomes a part of these minutes.

b. Disciplinary Case No. 19CPE-2—Amy N McCain, CPA, Certificate No. 3075:

Mr. Busby presented a consent agreement for Ms. Amy N McCain in which Ms. McCain waived her right to a formal hearing and agreed to a censure. Ms. McCain did not attend the proceedings and the proceedings were conducted in her absence. The consent order included Ms. McCain's agreement to a censure, payment of an administrative fine in the amount of \$2,000, completion of the NASBA Center for the Public Trust Ethical Leadership Course within 45 days of acceptance of the agreement and resolution of all CPE deficiencies for the fiscal year ending September 30, 2018. Dr. Grice made a motion that the Board accept the consent agreement as presented. Mr. Barranco seconded and the motion carried on a roll call vote. Voting in favor of the motion were Messrs. Barranco, Blackmon, Comer, Grice, Madison, and Ms. Sheppard-Harris. The Board's Consent Agreement is attached and becomes a part of these minutes.

c. Disciplinary Case No. 19CPE-3—Lea Sweeney Carwie, CPA, Certificate No. 9950-R:

Mr. Busby presented a consent agreement for Ms. Lea Sweeney Carwie in which Ms. Carwie waived her right to a formal hearing and agreed to a censure. Ms. Carwie did not attend the proceedings and the proceedings were conducted in her absence. The consent order included Ms. Carwie's agreement to a censure, payment of an administrative fine in the amount of \$2,000, completion of the NASBA Center for the Public Trust Ethical Leadership Course within 45 days of acceptance of the agreement and resolution of all CPE deficiencies for the fiscal year ending September 30, 2018. Dr. Grice made a motion that the Board accept the consent agreement as presented. Mr. Barranco seconded and the motion carried on a roll call vote. Voting in favor of the motion were Messrs. Barranco, Blackmon, Comer, Grice, Madison, and Ms. Sheppard-Harris. The Board's Consent Agreement is attached and becomes a part of these minutes.

d. Disciplinary Case No. 19CPE-4—Coba Susan Cornejo, CPA, Certificate No. 10926:

Mr. Busby presented a consent agreement for Ms. Coba Susan Cornejo in which Ms. Cornejo waived her right to a formal hearing. Ms. Cornejo did not attend the proceedings and the proceedings were conducted in her absence. The consent order included Ms. Cornejo's agreement to payment of an administrative fine in the amount of \$2,000, completion of the NASBA Center for the Public Trust Ethical Leadership Course within 45 days of acceptance of the agreement and resolution of all CPE deficiencies for the fiscal year ending September 30, 2018. Mr. Madison made a motion that the Board accept the consent agreement as presented. Mr. Barranco seconded and the motion carried on a roll call vote. Voting in favor of the motion were Messrs. Barranco, Blackmon, Comer, Grice, Madison, and Ms. Sheppard-Harris. The Board's Consent Agreement is attached and becomes a part of these minutes.

e. Disciplinary Case No. 19CPE-5—Claude Hampton Willis, CPA, Certificate No. 11802:

Mr. Busby presented a consent agreement for Mr. Claude Hampton Willis in which Mr. Willis waived his right to a formal hearing. Mr. Willis did not attend the proceedings and the proceedings were conducted in his absence. The consent order included Mr. Willis' agreement to payment of an administrative fine in the amount of \$2,000, completion of the NASBA Center for the Public Trust Ethical Leadership Course within 45 days of acceptance of the agreement and resolution of all CPE deficiencies for the fiscal year ending September 30, 2018. Dr. Grice made a motion that the Board accept the consent agreement as presented. Ms. Sheppard-Harris seconded and the motion carried on a roll call vote. Voting in favor of the motion were Messrs. Barranco, Blackmon, Comer, Grice, Madison, and Ms. Sheppard-Harris. The Board's Consent Agreement is attached and becomes a part of these minutes.

f. Disciplinary Case No. 19CPE-6—Randall M Hancock, CPA, Certificate No. 3173:

Mr. Busby presented a consent agreement for Mr. Randall M Hancock in which Mr. Hancock waived his right to a formal hearing. Mr. Hancock did not attend the proceedings and the proceedings were conducted in his absence. The consent order included Mr. Hancock's agreement to payment of an administrative fine in the amount of \$2,000, completion of the NASBA Center for the Public Trust Ethical Leadership Course within 45 days of acceptance of the agreement and resolution of all CPE deficiencies for the fiscal year ending September 30, 2018. Mr. Madison made a motion that the Board accept the consent agreement as presented. Mr. Barranco seconded and the motion carried on a roll call vote. Voting in favor of the motion were Messrs. Barranco, Blackmon, Comer, Grice, Madison, and Ms. Sheppard-Harris. The Board's Consent Agreement is attached and becomes a part of these minutes.

g. Disciplinary Case No. 19CPE-7—Janis R Biggers, CPA, Certificate No. 4098-R:

Mr. Busby presented a consent agreement for Ms. Janis R Biggers in which Ms. Biggers waived her right to a formal hearing. Ms. Biggers did not attend the proceedings and the proceedings were conducted in her absence. The consent order included Ms. Biggers' agreement to payment of an administrative fine in the amount of \$2,000, completion of the NASBA Center for the Public Trust Ethical Leadership Course within 45 days of acceptance of the agreement and resolution of

all CPE deficiencies for the fiscal year ending September 30, 2018. Ms. Sheppard-Harris made a motion that the Board accept the consent agreement as presented. Dr. Grice seconded and the motion carried on a roll call vote. Voting in favor of the motion were Messrs. Barranco, Blackmon, Comer, Grice, Madison, and Ms. Sheppard-Harris. The Board's Consent Agreement is attached and becomes a part of these minutes.

h. Disciplinary Case No. 19CPE-8—Sandra D Edwards, CPA, Certificate No. 5151:

Mr. Busby presented a consent agreement for Ms. Sandra D Edwards in which Ms. Edwards waived her right to a formal hearing. Ms. Edwards did not attend the proceedings and the proceedings were conducted in her absence. The consent order included Ms. Edwards' agreement to payment of an administrative fine in the amount of \$2,000, completion of the NASBA Center for the Public Trust Ethical Leadership Course within 45 days of acceptance of the agreement and resolution of all CPE deficiencies for the fiscal year ending September 30, 2018. Dr. Grice made a motion that the Board accept the consent agreement as presented. Mr. Barranco seconded and the motion carried on a roll call vote. Voting in favor of the motion were Messrs. Barranco, Blackmon, Comer, Grice, Madison, and Ms. Sheppard-Harris. The Board's Consent Agreement is attached and becomes a part of these minutes.

i. Disciplinary Case No. 19CPE-9—Tracie Chandler Manderson, CPA, Certificate No. 8867:

Mr. Busby presented a consent agreement for Ms. Tracie Chandler Manderson in which Ms. Manderson waived her right to a formal hearing and agreed to a censure. Ms. Manderson did not attend the proceedings and the proceedings were conducted in her absence. The consent order included Ms. Manderson's agreement to a censure, payment of an administrative fine in the amount of \$2,000, completion of the NASBA Center for the Public Trust Ethical Leadership Course within 45 days of acceptance of the agreement and resolution of all CPE deficiencies for the fiscal year ending September 30, 2018. Dr. Grice made a motion that the Board accept the consent agreement as presented. Mr. Barranco seconded and the motion carried on a roll call vote. Voting in favor of the motion were Messrs. Barranco, Blackmon, Comer, Grice, Madison, and Ms. Sheppard-Harris. The Board's Consent Agreement is attached and becomes a part of these minutes.

j. Disciplinary Case No. 19CPE-10—Elizabeth Lucille Spurgeon, CPA, Certificate No. 9503:

Mr. Busby presented a consent agreement for Ms. Elizabeth Lucille Spurgeon in which Ms. Spurgeon waived her right to a formal hearing. Ms. Spurgeon did not attend the proceedings and the proceedings were conducted in her absence. The consent order included Ms. Spurgeon's agreement to payment of an administrative fine in the amount of \$2,000, completion of the NASBA Center for the Public Trust Ethical Leadership Course within 45 days of acceptance of the agreement and resolution of all CPE deficiencies for the fiscal year ending September 30, 2018. Mr. Madison made a motion that the Board accept the consent agreement as presented. Mr. Barranco seconded and the motion carried on a roll call vote. Voting in favor of the motion were Messrs. Barranco, Blackmon, Comer, Grice, Madison, and Ms. Sheppard-Harris. The Board's Consent Agreement is attached and becomes a part of these minutes.

k. Disciplinary Case No. 19CPE-11—Alison Leah Cooke, CPA, Certificate No. 11076:

Mr. Busby presented a consent agreement for Ms. Alison Leah Cooke in which Ms. Cooke waived her right to a formal hearing. Ms. Cooke did not attend the proceedings and the proceedings were conducted in her absence. The consent order included Ms. Cooke's agreement to payment of an administrative fine in the amount of \$2,000, completion of the NASBA Center for the Public Trust Ethical Leadership Course within 45 days of acceptance of the agreement and resolution of all CPE deficiencies for the fiscal year ending September 30, 2018. Ms. Sheppard-Harris made a motion that the Board accept the consent agreement as presented. Mr. Barranco seconded and the motion carried on a roll call vote. Voting in favor of the motion were Messrs. Barranco, Blackmon, Comer, Grice, Madison, and Ms. Sheppard-Harris. The Board's Consent Agreement is attached and becomes a part of these minutes.

l. Disciplinary Case No. 19CPE-12—Robert R Brogdon, CPA, Certificate No. 1716:

Mr. Blackmon turned the meeting over to Kathy Brown, Esq., Governmental Hearing Officer, to serve as hearing officer and preside over the disciplinary hearing for Mr. Robert R Brogdon, CPA. Mr. Brogdon attended the hearing and represented himself. At the conclusion of the hearing, Dr. Grice made a motion to go into Executive Session to deliberate evidence in a public hearing. Mr. Comer seconded and the motion carried on a roll call vote. Voting in favor of the motion were Messrs. Barranco, Blackmon, Comer, Grice, Madison, and Ms. Sheppard-Harris. Qualified Board members excused themselves at 11:23 a.m. to deliberate with an estimated time of 10 minutes needed to discuss the matter. The Board then deliberated in Executive Session. After deliberation, at 11:29 a.m., Mr. Blackmon called the meeting back to order and called for a motion in the matter. Mr. Barranco made a motion that the Board find Mr. Robert R Brogdon, CPA guilty of all charges in the summons and complaint. He further moved that Mr. Brogdon be fined \$2,000, that Mr. Brogdon complete the NASBA Center for the Public Trust Ethical Leadership Course within 45 days and that Mr. Brogdon resolve all CPE deficiencies for the fiscal year ending September 30, 2018. Mr. Madison seconded and the motion carried on a roll call vote. Voting in favor of the motion were Messrs. Barranco, Blackmon, Comer, Grice, Madison, and Ms. Sheppard-Harris. The Board Order, Summons and Complaint and the transcript of the hearing are attached and become a part of these minutes.

m. Disciplinary Case No. 19CPE-17—Lacy Shirelle Holladay Crawford, CPA, Certificate No. 12402:

Mr. Blackmon turned the meeting over to Kathy Brown, Esq., Governmental Hearing Officer, to serve as hearing officer and preside over the disciplinary hearing for Ms. Lacy Shirelle Holladay Crawford, CPA. Ms. Crawford attended the hearing and represented herself. At the conclusion of the hearing, Dr. Grice made a motion to go into Executive Session to deliberate evidence in a public hearing. Mr. Barranco seconded and the motion carried on a roll call vote. Voting in favor of the motion were Messrs. Barranco, Blackmon, Comer, Grice, Madison, and Ms. Sheppard-Harris. Qualified Board members excused themselves at 11:48 a.m. to deliberate with an estimated time of 15 minutes needed to discuss the matter. The Board then deliberated in Executive Session. After deliberation, at 11:58 a.m., Mr. Blackmon called the meeting back to order and called for a motion in the matter. Dr. Grice made a motion that the Board find Ms.

Lacy Shirelle Holladay Crawford, CPA guilty of all charges in the summons and complaint. He further moved that Ms. Crawford be fined \$100 per count for a total of \$300, that Ms. Crawford complete the NASBA Center for the Public Trust Ethical Leadership Course within 45 days and that Ms. Crawford resolve all CPE deficiencies for the fiscal year ending September 30, 2018. Mr. Barranco seconded and the motion carried on a roll call vote. Voting in favor of the motion were Messrs. Barranco, Blackmon, Comer, Grice, Madison, and Ms. Sheppard-Harris. The Board Order, Summons and Complaint and the transcript of the hearing are attached and become a part of these minutes.

n. Disciplinary Case No. 19CPE-13—Alexandria Parrish, CPA, Certificate No. 9532-R:

Mr. Busby presented a consent agreement for Ms. Alexandria Parrish in which Ms. Parrish waived her right to a formal hearing. Ms. Parrish did not attend the proceedings and the proceedings were conducted in her absence. The consent order included Ms. Parrish's agreement to payment of an administrative fine in the amount of \$2,000, completion of the NASBA Center for the Public Trust Ethical Leadership Course within 45 days of acceptance of the agreement and resolution of all CPE deficiencies for the fiscal year ending September 30, 2018. Mr. Madison made a motion that the Board accept the consent agreement as presented. Mr. Barranco seconded and the motion carried on a roll call vote. Voting in favor of the motion were Messrs. Barranco, Blackmon, Comer, Grice, Madison, and Ms. Sheppard-Harris. The Board's Consent Agreement is attached and becomes a part of these minutes.

o. Disciplinary Case No. 19CPE-15—Aubrey Douglas Beeson, CPA, Certificate No. 11062:

Mr. Busby presented a consent agreement for Mr. Aubrey Douglas Beeson in which Mr. Beeson waived his right to a formal hearing. Mr. Beeson did not attend the proceedings and the proceedings were conducted in his absence. The consent order included Mr. Beeson's agreement to payment of an administrative fine in the amount of \$2,000, completion of the NASBA Center for the Public Trust Ethical Leadership Course within 45 days of acceptance of the agreement and resolution of all CPE deficiencies for the fiscal year ending September 30, 2018. Dr. Grice made a motion that the Board accept the consent agreement as presented. Mr. Barranco seconded and the motion carried on a roll call vote. Voting in favor of the motion were Messrs. Barranco, Blackmon, Comer, Grice, Madison, and Ms. Sheppard-Harris. The Board's Consent Agreement is attached and becomes a part of these minutes.

p. Disciplinary Case No. 19CPE-16—Nathan Alan Edwards, CPA, Certificate No. 12330-R:

Mr. Busby presented a consent agreement for Mr. Nathan Alan Edwards in which Mr. Edwards waived his right to a formal hearing. Mr. Edwards did not attend the proceedings and the proceedings were conducted in his absence. The consent order included Mr. Edwards' agreement to payment of an administrative fine in the amount of \$2,000, completion of the NASBA Center for the Public Trust Ethical Leadership Course within 45 days of acceptance of the agreement and resolution of all CPE deficiencies for the fiscal year ending September 30, 2018. Dr. Grice made a motion that the Board accept the consent agreement as presented. Mr. Barranco seconded and the motion carried on a roll call vote. Voting in favor of the motion were

Messrs. Barranco, Blackmon, Comer, Grice, Madison, and Ms. Sheppard-Harris. The Board's Consent Agreement is attached and becomes a part of these minutes.

q. Disciplinary Case No. 19CPE-18—Dale Denese McDanal Moore, CPA, Certificate No. 7040:

Mr. Busby presented a consent agreement for Ms. Dale Denese McDanal Moore in which Ms. Moore waived her right to a formal hearing. Ms. Moore did not attend the proceedings and the proceedings were conducted in her absence. The consent order included Ms. Moore's agreement to payment of an administrative fine in the amount of \$2,000, completion of the NASBA Center for the Public Trust Ethical Leadership Course within 45 days of acceptance of the agreement and resolution of all CPE deficiencies for the fiscal year ending September 30, 2018. Ms. Sheppard-Harris made a motion that the Board accept the consent agreement as presented. Dr. Grice seconded and the motion carried on a roll call vote. Voting in favor of the motion were Messrs. Barranco, Blackmon, Comer, Grice, Madison, and Ms. Sheppard-Harris. The Board's Consent Agreement is attached and becomes a part of these minutes.

6. Request for Reinstatement

a. Renard Hill, CPA, Certificate No. 6723:

Mr. Busby presented a letter from Renard Hill, CPA Certificate No. 6723, requesting reinstatement to inactive status. His reinstatement request included all of the completed forms and fees that the Board had decided at their March 21, 1997 meeting would be necessary to gain favorable consideration for reinstatement. Mr. Hill did not attend the hearing and it was conducted in his absence. Mr. Hill's written request also included the required assertion on felonies, a completed 2018-2019 personal registration form, and payment in the amount of \$5,400. The payment comprised the reinstatement fee of \$100; the \$2,000 administrative fine levied in the Board's Order; the \$300 registration fees for the fiscal years 2012-2013, 2014-2015 through 2018-2019; and \$3,000 for late renewal penalties for fiscal years 2012-2013, 2014-2015 through 2018-2019. After discussion, Dr. Grice made a motion to reinstate Mr. Hill's CPA Certificate No. 6723 to inactive status. Mr. Barranco seconded and the motion carried on a roll call vote. Voting in favor of the motion were Messrs. Barranco, Blackmon, Comer, Grice, Madison, and Ms. Sheppard-Harris.

b. Prentiss B Smith Jr, CPA, Certificate No. 12149-R:

Mr. Busby presented a letter from Prentiss B Smith Jr, CPA Certificate No. 12149-R, requesting reinstatement to active status. His reinstatement request included all of the completed forms and fees that the Board had decided at their March 21, 1997 meeting would be necessary to gain favorable consideration for reinstatement. Mr. Smith did not attend the hearing and it was conducted in his absence. Mr. Smith's written request also included the required assertion on felonies, a completed 2018-2019 personal registration form, CPE certificates of completion for fiscal year ended September 30, 2018, and payment in the amount of \$200. The payment comprised the reinstatement fee of \$100 and the \$100 registration fee for the fiscal year 2018-2019. After discussion, Dr. Grice made a motion to reinstate Mr. Smith's CPA Certificate No. 12149-R to active status. Mr. Barranco seconded and the motion carried on a roll call vote.

Voting in favor of the motion were Messrs. Barranco, Blackmon, Comer, Grice, Madison, and Ms. Sheppard-Harris.

c. Carrie A Russell, CPA, Certificate No. 5266:

Mr. Busby presented a letter from Carrie A Russell, CPA Certificate No. 5266, requesting reinstatement to active status. Her reinstatement request included all of the completed forms and fees that the Board had decided at their March 21, 1997 meeting would be necessary to gain favorable consideration for reinstatement. Ms. Russell did not attend the hearing and it was conducted in her absence. Ms. Russell's written request also included the required assertion on felonies, a completed 2018-2019 personal registration form, CPE certificates of completion for fiscal year ended September 30, 2018, and payment in the amount of \$200. The payment comprised the reinstatement fee of \$100 and the \$100 registration fee for the fiscal year 2018-2019. After discussion, Dr. Grice made a motion to reinstate Mr. Smith's CPA Certificate No. 12149-R to active status. Mr. Barranco seconded and the motion carried on a roll call vote. Voting in favor of the motion were Messrs. Barranco, Blackmon, Comer, Grice, Madison, and Ms. Sheppard-Harris.

d. Joseph Edward Wheeler, CPA, Certificate No. 10251:

Mr. Busby presented a letter from Joseph Edward Wheeler, CPA Certificate No. 10251, requesting reinstatement to active status. His reinstatement request included all of the completed forms and fees that the Board had decided at their March 21, 1997 meeting would be necessary to gain favorable consideration for reinstatement. Mr. Wheeler did not attend the hearing and it was conducted in his absence. Mr. Wheeler's written request also included the required assertion on felonies, a written statement verifying closure of his firm, evidence of completion of the NASBA CPT Ethics Training Course, and payment in the amount of \$2,730. The payment comprised the reinstatement fee of \$100; the \$2,000 administrative fine levied in the Board's Order; the \$130 firm registration fee for the fiscal year 2018-2019; and \$500 for late renewal penalty for fiscal year 2018-2019. After discussion, Dr. Grice made a motion to reinstate Mr. Hill's CPA Certificate No. 6723 to inactive status. Mr. Barranco seconded and the motion carried on a roll call vote. Voting in favor of the motion were Messrs. Barranco, Blackmon, Comer, Grice, Madison, and Ms. Sheppard-Harris.

7. Executive Director's Update & Report on Activities:

(a) Online CPA Exam Applications:

Mr. Busby informed the Board that on July 1 the application was open for testing with four candidates testing in this environment. The Board will go live with the system on October 1, 2019.

(b) Online Complaint Form:

Mr. Busby informed the Board of a completely online complaint form that should make filing complaints easier for the complainant.

(c) Online Quick Reference Guides

Mr. Busby informed the Board of staff creation of exam requirements quick guide, CPE approval online form, and CPE requirement quick guide for improvements to Board communication.

(d) Enforcement Project for Next Year/Proactive Enforcement Actions:

Mr. Busby updated the Board on current and upcoming enforcement actions.

(e) Scanning Project:

Mr. Busby updated the Board on the progress of scanning files in the office.

(f) Contract for Collections Attorney:

Mr. Busby updated the Board on the progress of the collection's attorney.

(g) CPE Audit Tool:

Mr. Busby updated the Board on the plans to use the NASBA CPE audit tool for the CPE audit in 2020 for FY 2019

(h) Social Media:

Mr. Busby updated the Board on actions taken to utilize social media to further promote Board news.

(i) Funds Sweep Ongoing Discussions:

Mr. Busby discussed legislative discussions regarding possible funds sweeping from Board to the general fund.

(j) Appeal of Board Order:

Mr. Busby informed the Board that a respondent who was revoked in January 2019 and who had filed an appeal with the Montgomery Circuit Court, had rescinded her appeal on August 1, 2019.

(k) Site Visits, Non-Licensee Issues, Etc.:

Mr. Busby updated the Board on investigations and site visits conducted internally.

(l) CPA Evolution:

Mr. Busby updated the Board on discussions going on at NASBA regarding CPA evolution.

8. Approval of Increase in Exam Fees:

The Board was presented with a letter from NASBA notifying the Board of an increase in exam fees. After review, Dr. Grice made a motion to increase the CPA Exam fees to \$209.99 per section beginning October 1, 2019. Mr. Barranco seconded and the motion carried unanimously.

9. Approval of New CPA Certificates:

Dr. Grice made a motion to approve new CPA Certificates No. 13838 through 13894-R. Ms. Sheppard-Harris seconded and the motion carried unanimously.

10. Approval of Operating Calendar FY 2019-2020:

The FY 2019-2020 Operating Calendar was presented to the Board. After discussion, Dr. Grice made a motion to approve the Operations Plan as presented. Mr. Barranco seconded and the motion carried unanimously.

11. Approval of FY 2020-2021 Annual Budget:

The FY 2020-2021 Annual Budget was presented to the Board. After discussion, Dr. Grice made a motion to approve the Operations Plan as presented. Mr. Barranco seconded and the motion carried unanimously.

12. New Certificates by Transfer of Grades:

A statistical summary of new certificates by transfer of grades was presented to the Board for review. A brief discussion ensued but no action was taken.

13. Peer Review Delinquencies Update December 31, 2018:

A statistical summary of Delinquent Peer Reviews as of December 31, 2018 was presented to the Board. A brief discussion ensued but no action was taken.

14. Complaints Update:

A complaints summary for FY 2009-2010 through 2018-2019 was presented to the Board. A brief discussion ensued but no action was taken.

15. Licensee Count:

A statistical summary of the number of Board licensees by category was presented to the Board. A brief discussion ensued but no action was taken.

16. Other Business:

a. Approval of Proposed Personnel Action:

Mr. Busby presented the Board with a proposed personnel action for the creation of an Enforcement Coordinator position along with a new pay scale for the Senior Accountant. After discussion, Mr. Madison made a motion to approve the new position and new pay scale as presented. Dr. Grice seconded and the motion carried on a roll call vote. Voting in favor of the motion were Messrs. Barranco, Blackmon, Comer, Grice, Madison, and Ms. Sheppard-Harris.

17. Adjournment of Meeting:

The next meeting of the Board is scheduled for Tuesday, November 19, 2019 at 10:00 a.m. at the University of Alabama. There being no further business to come before the Board, Mr. Comer made a motion to adjourn. Dr. Grice seconded and the motion carried unanimously. The meeting adjourned at 2:31 p.m.

Respectfully Submitted:



Delbert Madison
Secretary

Approved:



Steven M. Barranco, CPA
Chair